

# NORX

A Parallel and Scalable Authenticated Encryption Scheme

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ASFWS 2014  
Yverdon-les-bains, November 05, 2014

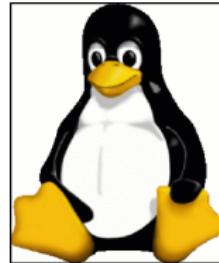


*“Nearly all of the symmetric encryption modes you learned about in school, textbooks, and Wikipedia are (potentially) insecure.”*

—Matthew Green



# When Encryption Modes Go Bad



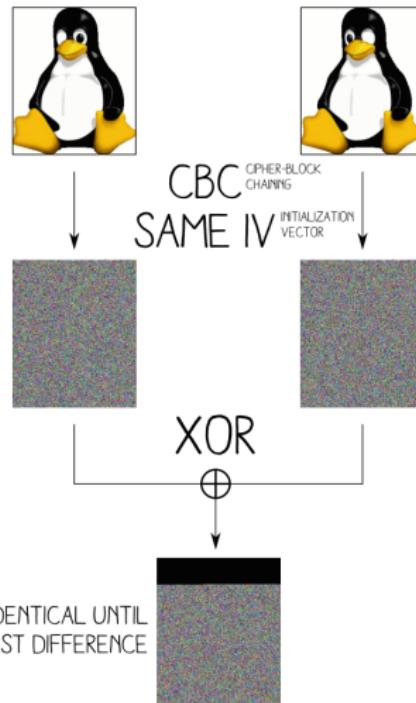
↓ ECB  
ELECTRONIC  
CODEBOOK

A large downward-pointing arrow labeled "ECB" and "ELECTRONIC CODEBOOK" to its right, indicating the transformation of the original image into a ciphered version.

Picture credits: Ange Albertini (@angealbertini, @corkami)  
<https://code.google.com/p/corkami/>



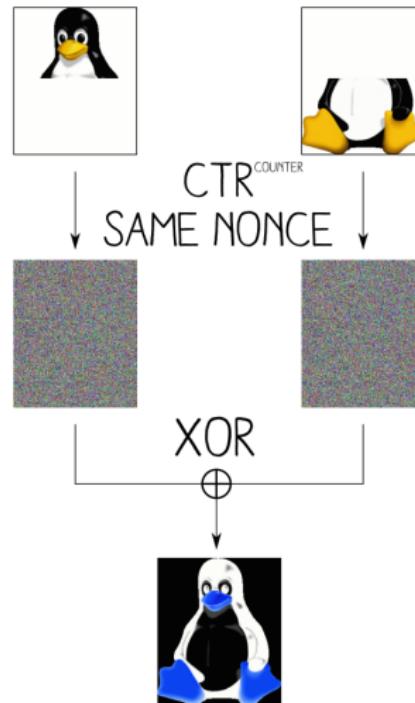
# When Encryption Modes Go Bad



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## Block Cipher Modes

- ▶ Today's modes of operation designed in the 70s:  
ECB   CBC   OFB   CFB   CTR
- ▶ Concern of the time: error propagation
- ▶ Little attention given to malleability
- ▶ Status quo until late 90s

### United States Patent [19] Tuckerman, III

[54] BLOCK-CIPHER CRYPTOGRAPHIC SYSTEM WITH CHAINING

[75] Inventor: Louis Bryant Tuckerman, III,  
Briarcliff Manor, N.Y.

[73] Assignee: International Business Machines Corporation, Armonk, N.Y.

[21] Appl. No.: 680,405

[22] Filed: Apr. 26, 1976

*"A third consideration is fault-tolerance. Some applications need to parallelize encryption or decryption, while others need to be able to preprocess as much as possible. In still others it is important that the decrypting process be able to recover from bit errors in the ciphertext stream, or dropped or added bits."*

—Bruce Schneier, Applied Cryptography



# Active Attacks

## Exploiting Malleability

- ▶ ECB: Rearrange/replay blocks
- ▶ CTR, OFB: XOR ciphertext trivially changes plaintext
- ▶ CBC: Randomize current block to predictably change next

## Chosen-boundary Attacks

- ▶ ECB, CBC, CFB: Partial chosen-plaintext control
- ▶ Decrypt messages byte by byte



# Authenticated Encryption



# Authenticated Encryption

## Types

- ▶ AE: ensure *confidentiality*, *integrity*, and *authenticity* of a message
- ▶ AEAD: AE + ensure *integrity* and *authenticity* of associated data (e.g. routing information in IP packets)

## Applications

- ▶ Standard technology to protect in-transit data
- ▶ Examples: IPSec, SSH, TLS, ...



# AE(AD) Constructions

## Generic Composition

- ▶ Symmetric encryption algorithm (confidentiality)
- ▶ Message Authentication Code (MAC) (authenticity, integrity)
- ▶ Examples: AES128-CBC+HMAC-SHA256, ChaCha20+Poly1305

## Dedicated Solutions

- ▶ Block cipher modes: GCM, OCB, CCM, EAX  
(often instantiated with AES)
- ▶ Hybrid approaches (Grain-128a, Helix, Phelix, Hummingbird-1/2)
- ▶ Sponge functions



# Bellare and Namprempre (2000)

| <b>Composition Method</b> | <b>Privacy</b> |          |          | <b>Integrity</b> |          |
|---------------------------|----------------|----------|----------|------------------|----------|
|                           | IND-CPA        | IND-CCA  | NM-CPA   | INT-PTXT         | INT-CTXT |
| <i>Encrypt-and-MAC</i>    | insecure       | insecure | insecure | secure           | insecure |
| <i>MAC-then-Encrypt</i>   | secure         | insecure | insecure | secure           | insecure |
| <i>Encrypt-then-MAC</i>   | secure         | secure   | secure   | secure           | secure   |



# Authenticated Encryption

## Problems

- ▶ Very easy to screw up deployment of AE(AD)
- ▶ Generic composition: easy to introduce interaction flaws between encryption and authentication
- ▶ No reliable standards
- ▶ No “misuse resistant” solutions
- ▶ Legacy crypto still very common

Led to countless security disasters ...



# Crypto Disasters I

## Padding Oracle Attacks

- ▶ 2002: Vaudenay discovers a padding oracle attack on MAC-Then-Encrypt schemes using CBC mode
- ▶ 2002-2014: Repeatedly exploited to mount attacks on TLS
- ▶ Latest variant, October 2014:

**Padding Oracle On Downgraded Legacy Encryption**



# Crypto Disasters II

## Wired Equivalent Privacy (WEP)

- ▶ 2007: Attack against WEP recovers secret key within minutes from a few thousand intercepted messages
- ▶ Exploits weaknesses in RC4
- ▶ Tools like aircrack-ng allow everyone to easily run the attack

```
root@root: ~
File Edit View Terminal Help
Aircrack-ng 1.1 r1984
[00:01:23] Tested 14354 Keys (got 20003 IVs)

KB    depth   byte(vote)
0     3/  8   C9(25688) 26(24832) 45(24832) AE(24832) D7(24832)
1     0/  1   86(28928) 2A(25856) 37(25856) 1A(25600) 25(25600)
2     0/ 10   68(27392) 98(26880) 8E(26624) D6(25856) 99(25856)
3     0/  5   E5(29184) 2F(27392) DE(27392) BA(26880) DF(26880)
4     2/ 41   32(25856) 5A(25600) 79(25600) B7(25344) 4E(25088)

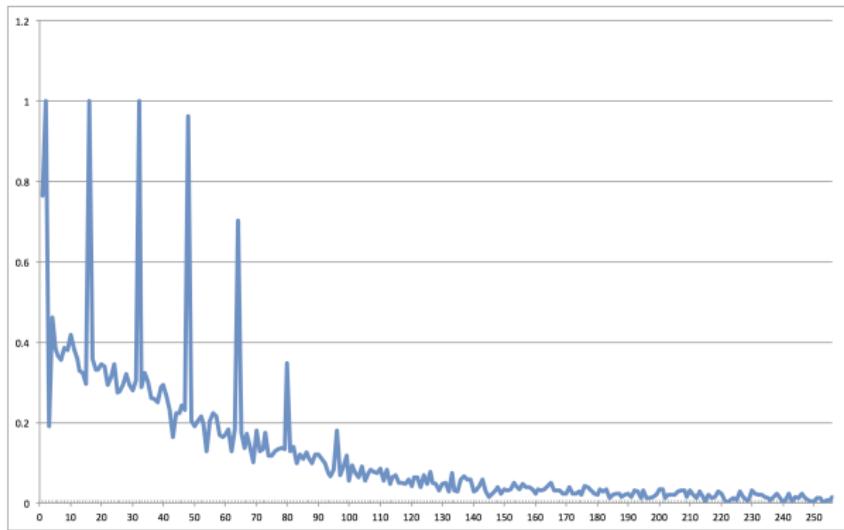
KEY FOUND! [ D7:86:68: : : ]
Decrypted correctly: 100%
root@root: ~#
```



# Crypto Disasters III

## TLS (yet again)

- ▶ 2013: RC4 biases shown to be usable against TLS
- ▶ Exploits weaknesses in RC4 (again)



# Crypto Disasters IV

## And RC4 Once More

- ▶ Kenneth G. Paterson on 31.10.14:



kennyyog

@kennyyog



Following

Folks really need to stop using RC4 ... we just broke another RC-4 dependent system, HIVE, from next week's CCS:  
[eprint.iacr.org/2014/901](https://eprint.iacr.org/2014/901)



# CAESAR



- ▶ Competition for **A**uthenticated **E**nryption: **S**ecurity, **A**pPLICability, and **R**obustness.
- ▶ **Goals:** Identify a portfolio of *authenticated ciphers* that
  - offer advantages over AES-GCM  
(the current de-facto standard) and
  - are suitable for widespread adoption.
- ▶ **Overview:**
  - March 15 2014 – End of 2017
  - 1st round: 57 submissions
  - <http://competitions.cr.yp.to/caesar.html>
- ▶ **Further Information:**
  - AEZoo: <https://aezoo.compute.dtu.dk>
  - Speed comparison: <http://www1.spms.ntu.edu.sg/~syllab/speed>

# CAESAR – Current Status

|          |               |           |           |            |
|----------|---------------|-----------|-----------|------------|
| ACORN    | ++AE          | AEGIS     | AES-CMCC  | AES-COBRA  |
| AES-COPA | AES-CPFB      | AES-JAMBU | AES-OTR   | AEZ        |
| Artemia  | Ascon         | AVALANCHE | Calico    | CBA        |
| CBEAM    | CLOC          | Deoxys    | ELmD      | Enchilada  |
| FASER    | HKC           | HS1-SIV   | ICEPOLE   | iFeed[AES] |
| Joltik   | Julius        | Ketje     | Keyak     | KIASU      |
| LAC      | Marble        | McMambo   | Minalpher | MORUS      |
| NORX     | OCB           | OMD       | PAEQ      | PAES       |
| PANDA    | $\pi$ -Cipher | POET      | POLAWIS   | PRIMATEs   |
| Prøst    | Raviyoyla     | Sablier   | SCREAM    | SHELL      |
| SILC     | Silver        | STRIBOB   | Tiaoxin   | TriviA-ck  |
| Wheesht  | YAES          |           |           |            |

Source: <https://aezoo.compute.dtu.dk>



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Source: <https://aezoo.compute.dtu.dk>



NO(T A)RX



# Overview of NORX

## Main Design Goals

- ▶ High security
- ▶ Efficiency
- ▶ Simplicity
- ▶ Scalability
- ▶ Online
- ▶ Side-channel robustness  
(e.g. constant-time operations)
- ▶ High key agility



# Overview of NORX

## Parameters

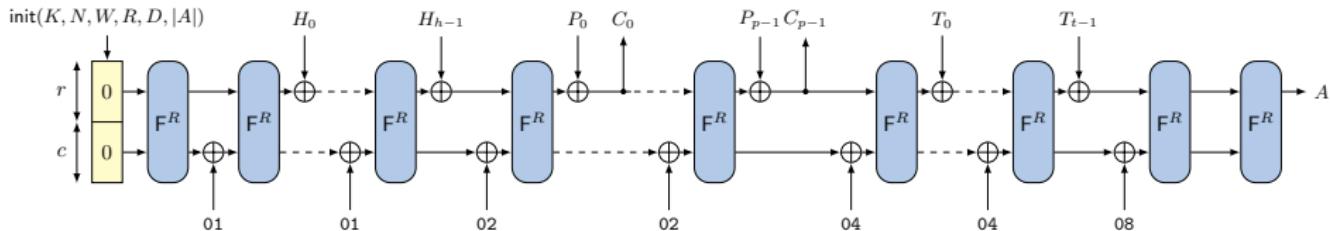
| Word size          | Number of rounds   | Parallelism degree  | Tag size       |
|--------------------|--------------------|---------------------|----------------|
| $W \in \{32, 64\}$ | $1 \leq R \leq 63$ | $0 \leq D \leq 255$ | $ A  \leq 10W$ |

## Instances

| NORX $W$ - $R$ - $D$ | Nonce size ( $2W$ ) | Key size ( $4W$ ) | Tag size ( $4W$ ) | Classification  |
|----------------------|---------------------|-------------------|-------------------|-----------------|
| NORX64-4-1           | 128                 | 256               | 256               | Standard        |
| NORX32-4-1           | 64                  | 128               | 128               | Standard        |
| NORX64-6-1           | 128                 | 256               | 256               | High security   |
| NORX32-6-1           | 64                  | 128               | 128               | High security   |
| NORX64-4-4           | 128                 | 256               | 256               | High throughput |



# NORX Mode



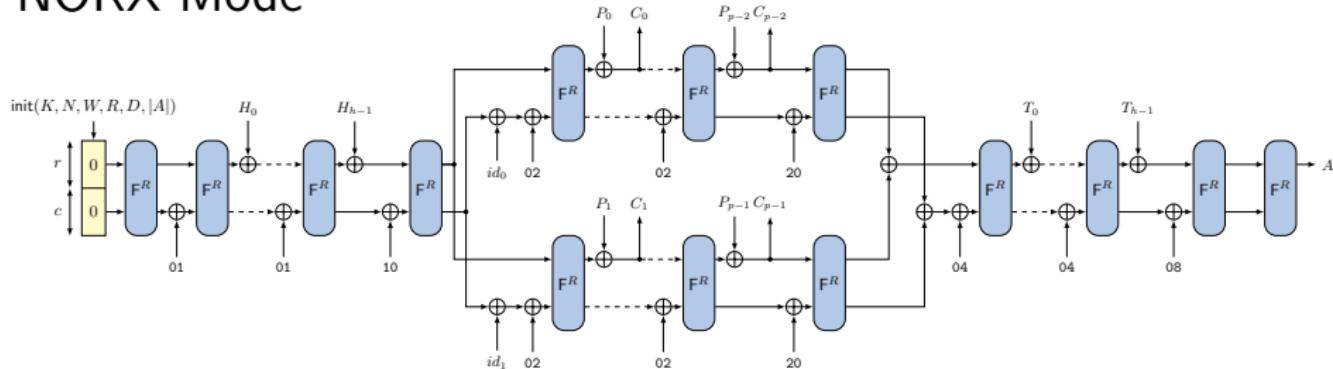
NORX in Sequential Mode ( $D = 1$ )

## Features

- ▶ (Parallel) monkeyDuplex construction (derived from Keccak/SHA-3)
- ▶ Processes header, payload and trailer data in one-pass
- ▶ Data expansion via multi-rate padding:  $10^*1$
- ▶ Extensible (e.g. sessions, secret message numbers)
- ▶ Parallelisable



# NORX Mode



NORX in Parallel Mode ( $D = 2$ )

## Features

- ▶ (Parallel) monkeyDuplex construction (derived from Keccak/SHA-3)
- ▶ Processes header, payload and trailer data in one-pass
- ▶ Data expansion via multi-rate padding:  $10^*1$
- ▶ Extensible (e.g. sessions, secret message numbers)
- ▶ Parallelisable



# The State

- ▶ NORX operates on a state of  $16 W$ -bit sized words

| $W$ | Size | Rate | Capacity |
|-----|------|------|----------|
| 32  | 512  | 320  | 192      |
| 64  | 1024 | 640  | 384      |

- ▶ Arrangement of **rate** (data processing) and **capacity** (security) words:

|          |          |          |          |
|----------|----------|----------|----------|
| $s_0$    | $s_1$    | $s_2$    | $s_3$    |
| $s_4$    | $s_5$    | $s_6$    | $s_7$    |
| $s_8$    | $s_9$    | $s_{10}$ | $s_{11}$ |
| $s_{12}$ | $s_{13}$ | $s_{14}$ | $s_{15}$ |



# Initialisation

- ▶ Load **nonce**, **key** and **constants** into state  $S$ :

|       |       |       |       |
|-------|-------|-------|-------|
| $u_0$ | $n_0$ | $n_1$ | $u_1$ |
| $k_0$ | $k_1$ | $k_2$ | $k_3$ |
| $u_2$ | $u_3$ | $u_4$ | $u_5$ |
| $u_6$ | $u_7$ | $u_8$ | $u_9$ |

- ▶ Parameter integration (v1):

$$s_{14} \leftarrow s_{14} \oplus (R \ll 26) \oplus (D \ll 18) \oplus (W \ll 10) \oplus |A|$$

- ▶ Apply round permutation:

$$S \leftarrow F^R(S)$$



# Initialisation

- ▶ Load **nonce**, **key** and **constants** into state  $S$ :

|       |       |       |       |
|-------|-------|-------|-------|
| $u_0$ | $n_0$ | $n_1$ | $u_1$ |
| $k_0$ | $k_1$ | $k_2$ | $k_3$ |
| $u_2$ | $u_3$ | $u_4$ | $u_5$ |
| $u_6$ | $u_7$ | $u_8$ | $u_9$ |

- ▶ Parameter integration (v2):

$$s_{12} \leftarrow s_{12} \oplus W$$

$$s_{13} \leftarrow s_{13} \oplus R$$

$$s_{14} \leftarrow s_{14} \oplus D$$

$$s_{15} \leftarrow s_{15} \oplus |A|$$

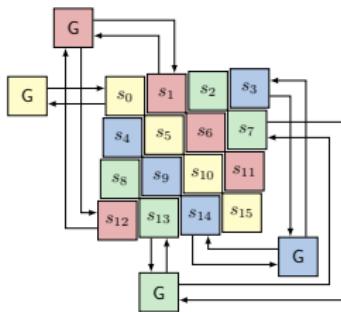
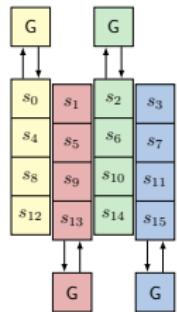
- ▶ Apply round permutation:

$$S \leftarrow F^R(S)$$



# The Permutation $F^R$

## The Permutation F



## The Permutation G

- 1:  $a \leftarrow H(a, b)$
- 2:  $d \leftarrow (a \oplus d) \ggg r_0$
- 3:  $c \leftarrow H(c, d)$
- 4:  $b \leftarrow (b \oplus c) \ggg r_1$
- 5:  $a \leftarrow H(a, b)$
- 6:  $d \leftarrow (a \oplus d) \ggg r_2$
- 7:  $c \leftarrow H(c, d)$
- 8:  $b \leftarrow (b \oplus c) \ggg r_3$

## The Non-linear Operation H

$$H : \{0, 1\}^{2n} \rightarrow \{0, 1\}^n, (x, y) \mapsto (x \oplus y) \oplus ((x \wedge y) \ll 1)$$

Rotation Offsets  $(r_0, r_1, r_2, r_3)$

32-bit:  $(8, 11, 16, 31)$

64-bit:  $(8, 19, 40, 63)$



# The Permutation $F^R$

## Features

- ▶  $F$  and  $G$  derived from ARX-primitives ChaCha/BLAKE2
- ▶  $H$  is an “approximation” of integer addition

$$x + y = (x \oplus y) \textcolor{red}{+} ((x \wedge y) \ll 1)$$

where  $+$  is replaced by  $\oplus$

- ▶ LRX permutation
- ▶ No SBoxes or integer additions
- ▶ SIMD-friendly
- ▶ Hardware-friendly
- ▶ High diffusion
- ▶ Constant-time



# NORX

## Requirements for Secure Usage

1. Unique nonces
2. Abort on tag verification failure



# Security

## Is NORX secure?

- ▶ To be determined...

## Current status

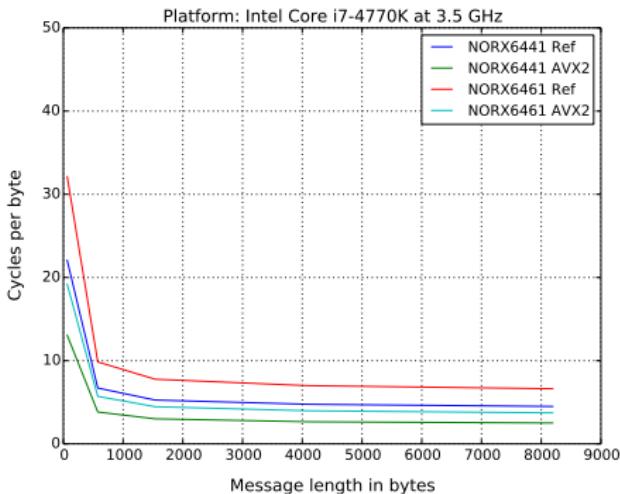
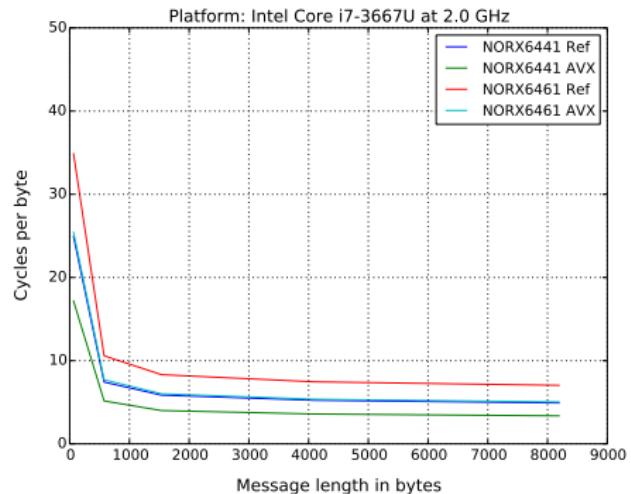
- ▶ No differentials in the nonce for 1 round with probability  $> 2^{-60}$  (32),  $2^{-53}$  (64)
- ▶ Best results for 4 rounds and full state:  $2^{-584}$  (32),  $2^{-836}$  (64)
- ▶ Initialization has  $\geq 8$  rounds
- ▶ Capacity chosen conservatively: can decrease and get  $\approx 16\%$  speedup



# Performance



# SW Performance (x86)

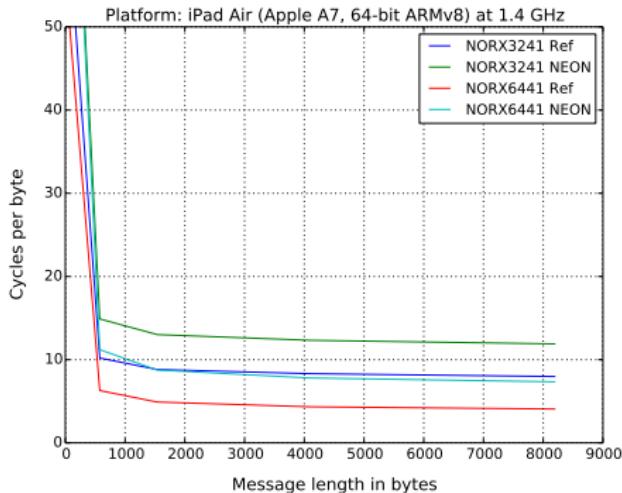
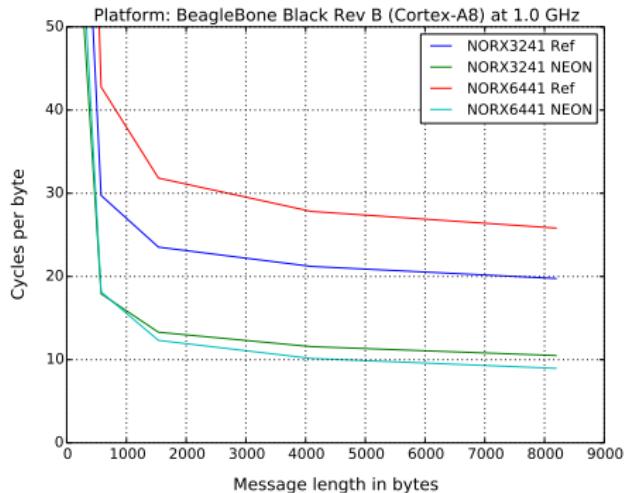


| Platform                       | Implementation | cpb  | MiBps |
|--------------------------------|----------------|------|-------|
| Ivy Bridge: i7 3667U @ 2.0 GHz | AVX            | 3.37 | 593   |
| Haswell: i7 4770K @ 3.5 GHz    | AVX2           | 2.51 | 1390  |

Table: NORX64-4-1 performance



# SW Performance (ARM)



| Platform                     | Implementation | cpb  | MiBps |
|------------------------------|----------------|------|-------|
| BBB: Cortex-A8 @ 1.0 GHz     | NEON           | 8.96 | 111   |
| iPad Air: Apple A7 @ 1.4 GHz | Ref            | 4.07 | 343   |

Table: NORX64-4-1 performance



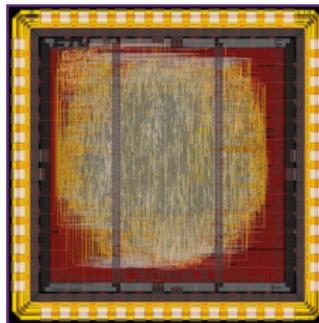
## SW Performance (SUPERCOP)

Source: <http://www1.spms.ntu.edu.sg/~syllab/speed>

- ▶ NORX among the fastest CAESAR ciphers
  - ▶ Fastest Sponge-based scheme
  - ▶ Reference implementation has competitive speed, too



# HW Performance (ASIC)



ASIC implementation and hardware evaluation by ETHZ students  
(under supervision of Frank K. Gürkaynak):

- ▶ Parameters:  $W \in \{32, 64\}$ ,  $R \in \{2, \dots, 16\}$  and  $D = 1$
- ▶ Technology: 180 nm UMC
- ▶ Frequency: 125 MHz
- ▶ Area requirements: 59 kGE
- ▶ NORX64-4-1 performance: 10 Gbps  $\approx$  1200 MiBps

# NORX vs AES-GCM



# NORX vs AES-GCM

|                       | NORX                                  | AES-GCM                           |
|-----------------------|---------------------------------------|-----------------------------------|
| High performance      | yes (on many platforms)               | depends (high with AES-NI)        |
| High key agility      | yes                                   | no                                |
| Timing resistance     | yes                                   | no (bit-slicing, AES-NI required) |
| Misuse resistance     | A+N / LCP+X (exposes $P \oplus P'$ )  | no (exposes $K$ )                 |
| Parallelisation       | yes                                   | yes                               |
| Extensibility         | yes (sessions, secret msg. nr., etc.) | no                                |
| Simple implementation | yes                                   | no                                |



# Conclusion



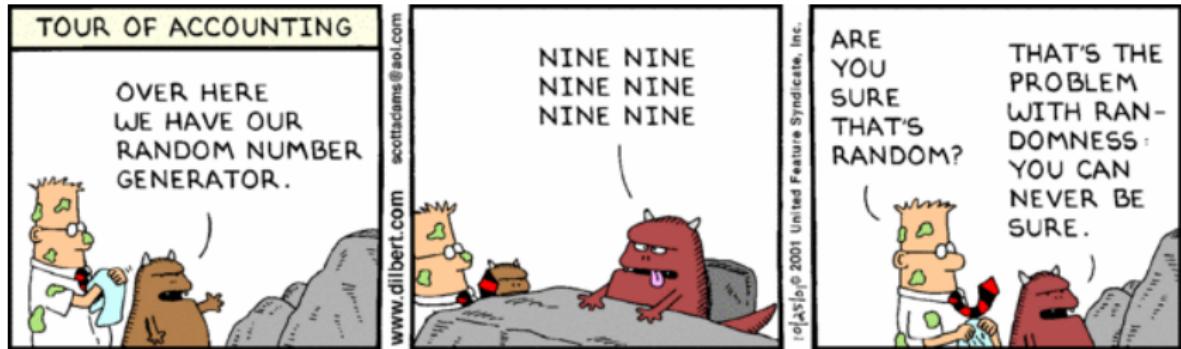
# Take Aways

## Features of NORX

- ▶ Secure, fast, and scalable
- ▶ Based on well-analysed primitives:  
ChaCha/BLAKE(2)/Keccak
- ▶ Clean and simple design
- ▶ HW and SW friendly
- ▶ Parallelisable
- ▶ Side-channel robustness  
considered during design phase
- ▶ Straightforward to implement
- ▶ No padding problems
- ▶ No AES dependence



Fin



## Further Information

<https://norx.io>

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contact@norx.io

